

PATENT

Case Docket No. ASMEEX.320A

Date: May 6, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Pomarede et al.
Appl. No. : 10/074,722
Filed : February 11, 2002
For : INTEGRATION OF HIGH K
GATE DIELECTRIC
Examiner : Ron Everett Pompey
Group Art Unit : 2812

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(Date)

Joseph J. Mallon
Joseph J. Mallon, Reg. No. 39,287

TRANSMITTAL LETTER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 with one (1) references.
- (X) A check in the amount of \$180 to cover the above fee is enclosed.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

Joseph J. Mallon
Joseph J. Mallon
Registration No. 39,287
Attorney of Record
Customer No. 20,995
(619) 235-8550

S:\DOCS\JOM\JOM-4745.DOC:050603

Knobbe Martens Olson & Bear LLP*Intellectual Property Law*

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TO: Examiner Ron Everett Pompey
FIRM: USPTO
FACSIMILE NO.: 703-872-9318
OUR REF.: ASMEX.320A
YOUR REF.: 10/074,722
FROM: Joseph J. Mallon, Reg. No. 39,287
OPERATOR: Susan Olson
DATE: September 24, 2003

NO. OF PAGES: 18 (incl. cover sheet)

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Docket No.: ASMEX.320A

Customer No.: 20,995

AMENDMENT / RESPONSE TRANSMITTAL

Applicant : Pomeroy et al.
 App. No. : 10/074,722
 Filed : February 11, 2002
 For : INTEGRATION OF HIGH K
 GATE DIELECTRIC
 Examiner : Pompey, Ron Everett
 Art Unit : 2812

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Sir:

Transmitted herewith for filing in the above-identified application are the following enclosures:

- (X) Response in 6 pages.
- (X) Courtesy copies of IDS and PTO Form 1449 filed on August 27, 2002 and May 6, 2003 along with copies of return postcards showing receipt by the USPTO on September 3, 2002 and May 9, 2003, respectively.

The fee has been calculated as shown below:

FEE CALCULATION				
FEE TYPE		FEE CODE	CALCULATION	TOTAL
Total Claims	47 - 47 = 0	1202 (\$18)	0 x 18 =	\$0
Independent Claims	3 - 3 = 0	1201 (\$84)	0 x 84 =	\$0
Multiple Claim		1203 (\$280)		\$0
1 Month Extension		1251 (\$110)		\$0
			TOTAL FEE DUE	\$0

- (X) Please charge any additional fees, including any fees for additional extension of time, or credit overpayment to Deposit Account No. 11-1410.

Joseph J. Mallon
 Joseph J. Mallon
 Registration No. 39,287
 Attorney of Record
 Customer No. 20,995
 (619) 235-8550

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UTILITY/DESIGN PATENT
 (document recordation/IDS)
Date: May 6, 2003

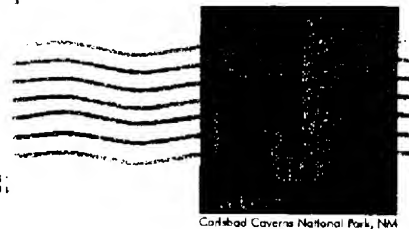
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Docket #: ASME-X-320A Applicant: Pomareda et al
 Title: INTEGRATION OF HIGH K GATE DIELECTRIC
 App No.: 10/074,722 Filed: February 11, 2002
 Exr: Bon Zerech; Pompey Art Unit: 2812
 Class/Sub-Class: 438/286.008 Re-Exam No.:
 VERIFIED BY: Asst: SLO Atty: WM QC: 97

- ☒ Transmittal Letter
☒ Information Disclosure Statement in 1 pgs
☒ Form PTO-1449 in 1 pgs ☒ Reference(s)
☒ \$180 Check for IDS
☐ Recordation Form Cover Sheet
☐ Assignment in pgs ☐ \$ Check for Recordation
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 2040 Main Street
 14th Floor
 Irvine, CA 92614

01078 2002 01078



UTILITY/DESIGN PATENT
(document recordation IDS)Date: May 5, 2003

Rec'd in the USPTO on the date stamped hereon via Certificate of Mail:

Docket #: ASMEY-320A Applicant: Simasade et alTitle: INTEGRATION OF HIGH K GATE DIELECTRICApp No.: 10/074,722 Filed: January 11, 2002Exr: Ben Everett Company Art Unit: 2812Class/Sub-Class: 438/281.006 Re-Exam No.: VERIFIED BY: Asst: SLO Atty: MM QC: ☒ Transmittal Letter☒ Information Disclosure Statement in 1 pgs☒ Form PTO-1449 in 1 pgs ☒ 1 Reference(s)☒ \$180 Check for IDS☐ Recordation Form Cover Sheet☐ Assignment in pgs☐ \$ Check for Recordation☐ for Recordation in pgs☐ ☒ Return Prepaid Postcard

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KNOBBE MARTENS OLSON & BEAR

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UTILITY/DESIGN PATENT

(document recordation / IDS)

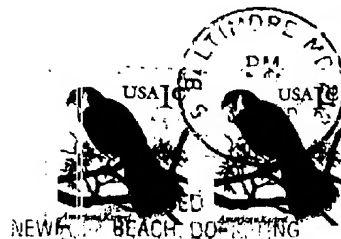
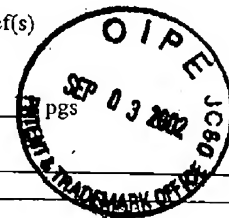
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Docket #: ASMEX.320AApplicant: Pomarede, et al.Title: INTEGRATION OF HIGH K GATE DIELECTRICApp No.: 12/074,722Filed: February 11, 2002Ext: UnknownArt Unit: 2812

Batch: _____

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VERIFIED BY: Asst: SLOAtty: AmQC: A☒ Transmittal Letter☒ Information Disclosure Statement; with PTO-1449 w/ 3 Ref(s)☐ Recordation Form Cover Sheet☐ Assignment in _____ pgs☐ _____ for Recordation in _____ pgs☐ \$ _____ Check for Recordation Fee☐ _____☐ _____☒ Return Prepaid Postcard

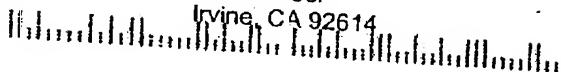
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UTILITY/DESIGN PATENT

(document recordation / IDS)

Date: August 27, 2002

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Docket #: ASIMEX-320A Applicant: Pomaredo, et al.
Title: INTEGRATION OF HIGH K GATE DIELECTRIC
App No.: 12/074,722 Filed: February 11, 2002
Exr: Unknown Art Jnit: 2812
Batch: _____ Re-Exam No.: _____
VERIFIED BY: Asst: SLB Atty: AM QC: _____

- ☒ Transmittal Letter
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PATENT

Case Docket No. ASMEX.320A

Date: August 27, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Pomarede et al.
Appl. No. : 10/074,722
Filed : February 11, 2002
For : INTEGRATION OF HIGH K
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Examiner : Unknown
Group Art Unit : 2812

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August 27, 2002

(Date)

Joseph J. Mallon
Joseph J. Mallon, Reg. No. 39,287

TRANSMITTAL LETTER

United States Patent and Trademark Office
P.O. Box 2327
Arlington, VA 22202

ATTENTION: APPLICATION BRANCH

Dear Sir:

Enclosed for filing in the above-identified application are:

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Joseph J. Mallon
Joseph J. Mallon
Registration No. 39,287
Attorney of Record

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